

## IAA CLE FINANCIAL HARDSHIP POLICY AND APPLICATION

The Investment Adviser Association (IAA) offers financial aid for certain attorneys who wish to attend an IAA program but for whom the registration fee would be a financial hardship due to loss of employment or similar circumstances. This policy applies only to attorneys who are seeking continuing legal education (CLE) in a state in which the IAA is a CLE provider and that requires CLE providers to have a financial hardship policy. See **Exhibit A** for a current list of states.

Attorneys who are seeking CLE in these states and who qualify for a financial hardship fee waiver will receive a 50% reduction of the registration fee for programs costing over \$500 and a 25% reduction of the registration fee for programs costing less than \$500. The IAA does not reduce the costs of meals, lodging, or travel associated with its programs.

*Please complete the application below and return it to the IAA at [iaaservices@investmentadviser.org](mailto:iaaservices@investmentadviser.org). Applications must be received at least two weeks in advance of the program to be eligible for consideration.*

Name: \_\_\_\_\_

Employer: \_\_\_\_\_

Address: \_\_\_\_\_

Phone number: \_\_\_\_\_

Email address: \_\_\_\_\_

State bar: \_\_\_\_\_

Name of IAA program: \_\_\_\_\_

Will your attendance at this program be applied towards required MCLE credit? Yes ☐ No ☐

Reason for Financial Aid Request: \_\_\_\_\_

\_\_\_\_\_

*Please attach documentation supporting your financial aid request.*

Signature of Applicant: \_\_\_\_\_ Date: \_\_\_\_\_

## EXHIBIT A

### As of January 24, 2025

#### Illinois

Pursuant to the Minimum Continuing Legal Education Board of the Supreme Court of Illinois, FAQ, [What must a provider include in its financial hardship policy?](#), the IAA has a financial hardship policy for any program where Illinois attorneys must pay to attend, or the employers or other third parties pay for Illinois attorneys to attend. To apply for a financial hardship waiver, Illinois attorneys should contact the IAA at [iaaservices@investmentadviser.org](mailto:iaaservices@investmentadviser.org) to begin the application process and submit the IAA CLE Financial Hardship Application.

#### Pennsylvania

Pursuant to Title 204, Chapter 82, Section 11 ([Accreditation of Accredited Continuing Legal Education Providers](#)) of the Pennsylvania Code, the IAA has available a financial hardship policy for Pennsylvania attorneys who wish to attend its programs, but for whom the cost of such programs would be a financial hardship.