

**NRS Center for Compliance Professionals**  
*where your compliance knowledge can be certified*  
**Investment Adviser Compliance Certificate Program**  
**Course Schedule for 2008** (subject to change)

Face-to-face courses begin at 8:30 a.m. and end at 4:30 p.m. except where noted with an asterisk (\*) - these end at 3:30 p.m.

Online course schedule: Session 1 of 2 11:00 a.m. – 1:30 p.m. (ET) **AND** Session 2 of 2 3:00 p.m. – 5:30 p.m. (ET)

Course Topic	Course format	Fast Track (apply for waiver status)	Super Fast Track (apply for waiver status)	Delivery Date 2008	Delivery Date 2008	Delivery Date 2008	Delivery Date 2008	Delivery Date 2008
		1 required + 3 electives	1 required	Online	Miami	New York	Chicago	San Francisco
Mastering the Investment Advisers Act of 1940: Sections 201-205	Face-to-face					Mar 11 Nov 11	June 3	Jul 22
Mastering the Investment Advisers Act of 1940: Sections 206-222	Face-to-face					Mar 12 Nov 12	June 4	Jul 23
Mastering the Investment Advisers Act of 1940: Compliance Programs: Requirements, Responsibility, Accountability and Thinking Like an SEC Examiner*	Face-to-face					Mar 13  Nov 13	June 5	Jul 24
Disclosure: Form ADV, Performance & Advertising	Face-to-face				Feb 5	Jun 24	Sep 23	Sep 9
Ethics: Understanding the Requirements and Fostering a Culture while Leveraging Leadership, Communication and Technology for Effective Compliance	Face-to-face	Required	Required		Feb 6	Jun 25	Sep 24	Sep 10
Trading: Best Practices and Lessons Learned*	Face-to-face				Feb 7	Jun 26	Sep 25	Sep 11
Compliance Mandates Beyond the Advisers Act: ERISA, AML Compliance Privacy and Safeguarding Client Info	Online (in two 2.5-hour segments)			Feb 28 Nov 20				
Securities Regulation '33 and '34 Acts including SROs, IC Act, State Issues, SOX, CFTC/NFA	Online (in two 2.5-hour segments)			Jan 24 Jul 31				

<b>Optional Exam Study Session for candidates enrolled in the Certificate Program</b> ↓ <b>(Not part of 8-course CCP Program)</b>								
<b>IACCP Online Exam Study Session</b> <b>3-hour session 1:00 p.m. – 4:00 p.m. (ET)</b>	<b>Online</b>			<b>May 29</b> <b>Dec 4</b>				

<b>Continuing Education for designated IACCP<sup>sm</sup></b> ↓ <b>(Not part of 8-course CCP Program)</b>								
<b>IACCP Continuing Ed</b> <b>General credits =</b> <b>11:00 a.m. – 1:00 p.m. (ET)</b>	<b>Online</b>			<b>Apr 29</b> <b>Dec 11</b>				
<b>IACCP Continuing Ed</b> <b>Ethics credits =</b> <b>3:00 p.m. – 5:00 p.m. (ET)</b>	<b>Online</b>			<b>Apr 29</b> <b>Dec 11</b>				

<b>Date 2008</b>	<b>Course</b>	<b>Location</b>	<b>Time</b>
Jan 24	Securities Regulation	Online	11:00 a.m. – 1:30 p.m. (ET) and 3:00 p.m. – 5:30 p.m. (ET)
Feb 5	Disclosure	FL	8:30 a.m. – 4:30 p.m.
Feb 6	Ethics	FL	8:30 a.m. – 4:30 p.m.
Feb 7	Trading	FL	8:30 a.m. – 3:30 p.m.
Feb 28	Compliance Mandates Beyond the Advisers Act	Online	11:00 a.m. – 1:30 p.m. (ET) and 3:00 p.m. – 5:30 p.m. (ET)
Mar 11	Advisers Act 1 of 3: 201-205	NY	8:30 a.m. – 4:30 p.m.
Mar 12	Advisers Act 2 of 3: 206-222	NY	8:30 a.m. – 4:30 p.m.
Mar 13	Advisers Act 3 of 3 : CPR & SEC Exams	NY	8:30 a.m. – 3:30 p.m.
Apr 29	IACCP CE - General credits IA Semi-Annual Update	Online	11:00 a.m. – 1:00 p.m.
Apr 29	IACCP CE – Ethics credits	Online	3:00 p.m. – 5:00 p.m.
May 12 – 15	NRS Annual Conference – IACCP CE - Ethics	Miami Beach	?
May 29	IACCP Exam Study Session	Online	1:00 p.m. – 4:00 p.m.
Jun 3	Advisers Act 1 of 3: 201-205	CH	8:30 a.m. – 4:30 p.m.
Jun 4	Advisers Act 2 of 3: 206-222	CH	8:30 a.m. – 4:30 p.m.
Jun 5	Advisers Act 3 of 3 : CPR & SEC Exams	CH	8:30 a.m. – 3:30 p.m.
Jun 24	Disclosure	NY	8:30 a.m. – 4:30 p.m.
Jun 25	Ethics	NY	8:30 a.m. – 4:30 p.m.
Jun 26	Trading	NY	8:30 a.m. – 3:30 p.m.
Jul 22	Advisers Act 1 of 3: 201-205	SF	8:30 a.m. – 4:30 p.m.
Jul 23	Advisers Act 2 of 3: 206-222	SF	8:30 a.m. – 4:30 p.m.
Jul 24	Advisers Act 3 of 3 : CPR & SEC Exams	SF	8:30 a.m. – 3:30 p.m.
Jul 31	Securities Regulation	Online	11:00 a.m. – 1:30 p.m. (ET)

			<b>and 3:00 p.m. – 5:30 p.m. (ET)</b>
Sep 9	Disclosure	SF	8:30 a.m. – 4:30 p.m.
Sep 10	Ethics	SF	8:30 a.m. – 4:30 p.m.
Sep 11	Trading	SF	8:30 a.m. – 3:30 p.m.
Sep 23	Disclosure	CH	8:30 a.m. – 4:30 p.m.
Sep 24	Ethics	CH	8:30 a.m. – 4:30 p.m.
Sep 25	Trading	CH	8:30 a.m. – 3:30 p.m.
Oct 28-31	NRS Annual Conference – IACCP CE - Ethics	Scottsdale	?
Nov 11	Advisers Act 1 of 3: 201-205	NY	8:30 a.m. – 4:30 p.m.
Nov 12	Advisers Act 2 of 3: 206-222	NY	8:30 a.m. – 4:30 p.m.
Nov 13	Advisers Act 3 of 3 : CPR & SEC Exams	NY	8:30 a.m. – 3:30 p.m.
Nov 20	Compliance Mandates Beyond the Advisers Act	Online	11:00 a.m. – 1:30 p.m. (ET) <b>and 3:00 p.m. – 5:30 p.m. (ET)</b>
Dec. 4	IACCP Exam Study Session	Online	1:00 p.m. – 4:00 p.m.
Dec 11	IACCP Continuing Ed - Semi-annual Update – General credits	Online	11:00 a.m. – 1:00 p.m.
Dec 11	IACCP Continuing Ed - Ethics	Online	3:00 p.m. – 5:00 p.m.

<b>Course</b>	<b>Date 2008</b>	<b>Location</b>	<b>Time</b>
Advisers Act 1 of 3: Sections 201-205	Mar 11	NY	8:30 a.m. – 4:30 p.m.
	Jun 3	CH	8:30 a.m. – 4:30 p.m.
	Jul 22	SF	8:30 a.m. – 4:30 p.m.
	Nov 11	NY	8:30 a.m. – 4:30 p.m.
Advisers Act 2 of 3: Sections 206-222	Mar 12	NY	8:30 a.m. – 4:30 p.m.
	Jun 4	CH	8:30 a.m. – 4:30 p.m.
	Jul 23	SF	8:30 a.m. – 4:30 p.m.
	Nov 12	NY	8:30 a.m. – 4:30 p.m.
Advisers Act 3 of 3 : CPR & SEC Exams	Mar 13	NY	8:30 a.m. – 3:30 p.m.
	Jun 5	CH	8:30 a.m. – 3:30 p.m.
	Jul 24	SF	8:30 a.m. – 3:30 p.m.
	Nov 13	NY	8:30 a.m. – 3:30 p.m.
Disclosure	Feb 5	FL	8:30 a.m. – 4:30 p.m.
	Jun 24	NY	8:30 a.m. – 4:30 p.m.
	Sep 9	SF	8:30 a.m. – 4:30 p.m.
	Sep 23	CH	8:30 a.m. – 4:30 p.m.
Ethics	Feb 6	FL	8:30 a.m. – 4:30 p.m.
	Jun 25	NY	8:30 a.m. – 4:30 p.m.
	Sep 10	SF	8:30 a.m. – 4:30 p.m.
	Sep 24	CH	8:30 a.m. – 4:30 p.m.
Trading	Feb 7	FL	8:30 a.m. – 3:30 p.m.
	Jun 26	NY	8:30 a.m. – 3:30 p.m.
	Sep 11	SF	8:30 a.m. – 3:30 p.m.
	Sep 25	CH	8:30 a.m. – 3:30 p.m.
Securities Regulation	Jan 24	Online	11:00 a.m. – 1:30 p.m. (ET) <b>and</b> 3:00 p.m. – 5:30 p.m. (ET)
	Jul 31	Online	11:00 a.m. – 1:30 p.m. (ET) <b>and</b> 3:00 p.m. – 5:30 p.m. (ET)
Mandates Beyond the Advisers Act	Feb 28	Online	11:00 a.m. – 1:30 p.m. (ET) <b>and</b> 3:00 p.m. – 5:30 p.m. (ET)
	Nov 20	Online	11:00 a.m. – 1:30 p.m. (ET) <b>and</b> 3:00 p.m. – 5:30 p.m. (ET)

## IACCP CE (general and ethics) and Exam Study Sessions

<b>Course</b>	<b>Date</b>	<b>Location</b>	<b>Time</b>
IACCP CE (general credits) Semi-Annual Update	Apr 29 Dec 11	Online	11:00 a.m. – 1: 00 p.m. (ET)
IACCP CE (ethics credits)	Apr 29 Dec 11	Online	3:00 p.m. – 5:00 p.m. (ET)
IACCP Exam Study Session	May 29 Dec 4	Online	1:00 p.m. – 4:00 p.m. (ET)